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OBLON

SPIVAK

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&
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ATTN: APPLICATION BRANCH

THIS IS A RESPONSE TO A NOTICE OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371

ATTORNEYS AT LAW

P.C.

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Re: Inventor:

Tore CURSTEDT, et al.

Serial No:

09/926,009

Filed:

August 13, 2001

For:

ARTIFICIAL PEPTIDES HAVING SURFACE ACTIVITY AND

THE USE THEREOF IN THE PREPARATION OF ARTIFICIAL

SURFACTANT

SIR:

Attached hereto for filing are the following papers:

Notification of Missing Requirements Under 35 U.S.C. 371, Preliminary Amendment (10 pages), Sequence Listing Paper (24 pages), Copy of Date-stamped filing receipt filed December 3, 2001, Copy of Declaration filed December 3, 2001, (3-pages), International Search Report, Information Disclosure Statement, PTO Form 1449, References (5), Sequence Listing (CRF) Computer Readable Form

Our check in the amount of \$0.00 is attached covering any required fees. In the event that any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Norman F. Oblon

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2850

211596US0PCT

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Docket No.

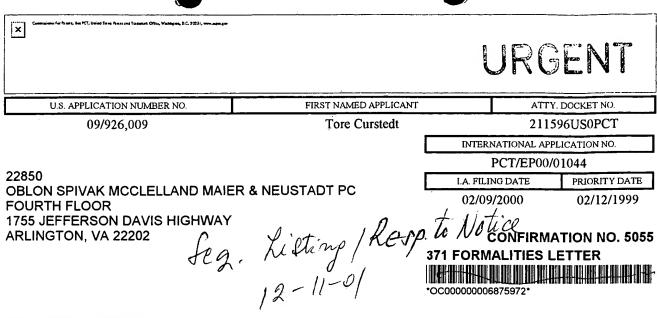
Daniel J. Pereira, Ph.D. Registration No. 45,518

J. Derek Mason, Ph.D. Registration No. 35,270

24,618

177k





Date Mailed: 10/11/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- · Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination



OBLON, SPIVAK, McCLELLAND MAIER & NEUSTADT, P.C.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply
 with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason
 (s):
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
 - APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - A statement that the contents of the paper or compact disc and the computer readable

form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

LAMONT M HUNTER

Telephone: (703) 305-3686

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
09/926,009	PCT/EP00/01044	211596US0PCT

O.S.&M. File No. <u>211596</u> Due Date NONE Serial No. <u>09/926,009</u> In the Matter of the Application of Tore CURSTEDT, et al. ARTIFICIAL PEPTIDES HAVING SURFACE ACTIVITY AND THE USE THEREOF IN THE PREPARATION OF ARTIFICIAL SURFACTANT The following has been received in the U.S. Patent Office on the date stamped hereon: [] ____ pps. Specification & ___ Claims (English Translation) [>] Combined Declaration, Petition & Power of Attorney (3 pag s) [▶] Submission of Missing Requirements Under 37 CFR 1.495 [▶] PCT Transmittal Letter [] Verified Statement (Declaration) Claiming Small Entity Status [] Submission of Verified Statement (Declaration) Claiming Small Entity Status [>] Dep. Acct. Order Form [] Check for \$__ [] Declaration of [] Assignment ____ pages/PTO-1595 [] Letter to Official Draftsman [] Letter Requesting Approval of Drawing Changes [] Drawings ___ sheets [] Preliminary Amendment [] Information Disclosure Statement; [] PTO-1449 [] Cited References () ___ Search Report [] Statement of Relevancy

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Date Rec'd ______

[] Election Response

[] Restriction Response

[] Rule 132 Declaration

[] Petition